

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Charles W. Selvidge; Kenneth W. Crouch; Muralidhar R. Kudlugi; Soha

M. N. Hassoun

Assignee:

Ikos Systems, Inc.

Title:

NON-SYNCHRONIZED MULTIPLEX DATA TRANSPORT ACROSS

SYNCHRONOUS SYSTEMS

Serial No.:

09/539,463

Filed:

3/30/00

Examiner:

Unassigned

Group Art Unit: Unassigned

Docket No.:

M-8288 US

San Jose, California August 4, 2000

BOX MISSING PARTS COMMISSIONER FOR PATENTS Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION - FILING DATE GRANTED

Dear Sir:

In response to the "Notice to File Missing Parts of Application - Filing Date Granted" mailed by the United States Patent and Trademark Office on June 6, 2000, the following documents are enclosed to complete the filing of the above-identified patent application:

- 1. Declaration For Patent Application and Power of Attorney (signed);
- 2. Verified Statement Claiming Small Entity Status (37 CFR 1.9(f) & 1.27 C))--Small Business Concern; and
- 3. Copy of Notice to File Missing Parts of Application - Filing Date Granted.

AUS 1 1 2000

The United States Patent and Trademark Office is hereby authorized to charge the following fees to Deposit Account No. 194286:

- 1. Surcharge for filing declaration on a date later than the filing date of the application. \$65.00
- 2. Statutory basic filing fee.

\$345.00

3. TOTAL FEES:

\$410.00

The Commissioner is hereby authorized to charge any additional fees, which may be required, or credit any overpayment to Deposit Account No. 19-2386.

It is hereby respectfully submitted that the enclosed documents complete the filing of the above patent application and justify the filing date of March 30, 2000. Please telephone the undersigned at (408) 453-9200, if there are any questions. This form is being submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner For Patents, Washington, D.C. 20231, on August 4, 2000.

Atteney for Applicants

5-4--68:----

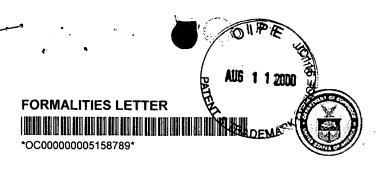
Respectfully submitted,

Edward C. Kwok

Attorney for Applicants

Reg. No. 33,938

659566 v1



UNITED STATES DEPARTMENT OF COMMERCE Patent and Trademark Office

Address: COMMISSIONER OF PATENT AND TRADEMARKS Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER

09/539,463

03/30/2000

Charles W. Selvidge

M-8288 US

Edward C Kwok Skjerven Morrill MacPherson Franklin & Friel LLP 25 Metro Drive Suite 700 San Jose, CA 95110-1349

Date Mailed: 06/06/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
 Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
 - Total additional claim fee(s) for this application is \$78.
 - \$78 for 1 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 898.

A copy of this notice <u>MUST</u> be returned with the reply § 09/06/2000 TWILLIAM 00000002 192386 09539463 00000003 192386 Customer Service Center 01 FC:202 39.00 CH Initial Patent Examination Division (703) 308-1202 PART 1 - ATTORNEY/APPLICANT COPY date: 08/15/2000 SSESHE1 00000003 192386 08/15/2000-SSESHE1 08/15/2000 SSESHE1 00000004 192386 09539463 01. FC:101 690-00 CR SSESHE1 78:00 CR FC:102 345.00 CH 01 FC:201 03 FC:105 130.00 CR 02 FC:205 65.00 CH 08/15/2000



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NON-SYNCHRONIZED MULTIPLEX DATA TRANSPORT

ACROSS SYNCHRONOUS SYSTEMS

Serial No.:

09/539,463

Filed:

March 30, 2000

Examiner:

Unknown

Group Art Unit:

Unknown

Docket No.:

M-8288 US

BOX MISSING PARTS ASSISTANT COMMISSIONER FOR PATENTS Washington, D. C. 20231

VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(F) & 1.27(C)) -- SMALL BUSINESS CONCERN

Dear Sir:

I declare that I am an official empowered to act on behalf of the concern identified above as assignee.

Exclusive rights to the above invention as described in

the specification filed herewith,

U.S. patent application Serial No. 09/539,463, filed March 30, 2000

have been conveyed to and remain with the above concern.

For purposes of paying reduced fees under Section 41 of Title 35 of the United States Code with regard to this invention, I declare that the above concern qualifies as a small business concern as defined in 37 CFR 1.9(d) and 13 CFR 121, and more particularly 13 CFR 121.802, namely, (a) the concern's number of employees, including those of its affiliates, does not exceed 500 persons, and (b) the concern has not assigned, granted, conveyed, or licensed, and is under no obligation to assign, grant, convey, or license, any rights in the invention to any person who made it and could not be classified as an independent inventor under 37 CFR 1.9(c), or to any concern which would not qualify as a nonprofit organization under 37 CFR 1.9(e) or a small business concern under 37 CFR 121.802.

LAW OFFICES OF SKJERVEN, MORRILL, MacPHERSON, FRANKLIN & FRIEL LLP

25 METRO DRIVE SUITE 700 SAN JOSE, CA 95110 (408) 453-9200 PAX (408) 453-7979

SER. NO. 09/539,463



I acknowledge my duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate per 37 CFR 1.28(b).

I declare that all statements made herein of my own knowledge are true, all statements made herein on information and belief are believed to be true, and all statements made herein are made with the knowledge that whoever, in any matter within the jurisdiction of the Patent Hand Trademark Office, knowingly and willfully falsifies, conceals, or covers up by any trick, scheme, or device a material fact, or makes any false, fictitious or fraudulent statements or representations, or makes or uses any false writing or document knowing the same to contain any false, fictitious or fraudulent statement or entry, shall be subject to the penalties including fine or imprisonment or both as set forth under 18 U.S.C. 1001, and that violations of this paragraph may jeopardize the validity of the application or this document, or the validity or enforceability of any patent, trademark registration, or certificate resulting therefrom.

Signature:

eman Date: 7/10/2000

Official's Name:

Hateman

Official's Title:

Concern's Name:

Ikos Systems, Inc.

Concern's Address:

19050 Pruneridge Avenue

LAW OFFICES OF SKJERVEN, MORRILL, SCPHERSON, FRANKLIN & FRIEL LLP

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25 METRO DRIVE SUITE 700 SAN JOSE, CA 95110 (408) 453-9200 FAX (408) 453-7979